

UTILITY PATENT APPLICATION TRANSMITTAL

| | | |
|----------------------------------|--|------------------------|
| Attorney Docket No. | First Inventor or Application Identifier | Express Mail Label No. |
| SG-20554 | William F. Behm | EJ226237614US |
| Title | | |
| LOTTERY TICKET VALIDATION SYSTEM | | |

Address to:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification **Total Pages [187]**
(Preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) **Total Sheets [46]**
4. ☒ Declaration and Power of Attorney **Total Pages [4]**
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. ☐ DELETION OF INVENTORS
Signed statement attached deleting inventor(s)
named in the prior application
See 37 C.F.R. §§ 1.63(d)(2) and 1.33(b)
5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449
☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ Small Entity Statement(s) (PTO/SB/09-12)
☐ Statement filed in prior application. Status still proper and desired

Certificate of Mailing by "Express Mail"
Date of Deposit: November 28, 2000
I hereby certify that this paper or fee is being deposited
with the United States Postal Service "Express Mail Post
Office Box Addressee" service under 37 CFR 1.10 on the
date indicated above and is addressed to the
Commissioner of Patents and Trademarks, Washington,
D. C. 20231.

Kathleen Anne Ryan

Kathleen Anne Ryan
Signature
Date 11/28/2000

ACCOMPANYING APPLICATION PARTS, CONTINUED

14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

15. ☒ Other

| |
|---|
| 15a. The inventors are: William F. Behm (2250 Rolland Street, Marietta, Georgia, 30062); |
| Mark Bofill 1030 Kristian Way, Roswell, Georgia 30076); Steven J. Daigle (3284 Green Court, |
| Plano, Texas 75023); Kenneth E. Irwin, Jr. (255 Brassy Court, Alpharetta, Georgia 30202); |
| Bret Levy (5646 Queensborough Drive, Dunwoody, Georgia 30338); Dan Pallone |
| (227 Summer Crossing, Dunwoody, Georgia 30350); Gary R. Streeter (35 Williams Street, |
| Andover, Massachusetts 01810); and Mark Tevis, 5870 Briar Court, Cumming, Georgia 30040). |
| |
| 15b. Check No. 1774, in the amount of \$6,586.00. |

NOTE: FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 c.f.r. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 c.f.r. § 11.28)

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☒ Continuation -in-part (CIP)

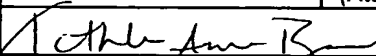
of prior application No. 09/455,564

Prior Application information: Examiner Kirsten Rockford Group Art Unit: 1762

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

| | | | | | |
|---------|--------------------|-----------|----------------|----------|----------------|
| Name | Michael B. McMurry | | | | |
| Address | 1210 Astor Street | | | | |
| City | Chicago | State | IL | Zip Code | 60610 |
| Country | USA | Telephone | (312) 664-1086 | Fax | (312) 664-1087 |

| | | | |
|----------------------|---|--------------------------------------|-------------------|
| Name (print/type) | Kathleen Anne Ryan | Registration No. (Attorney/Agent) | 36,909 |
| Signature |  | Date | November 28, 2000 |

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

COMPLETE IF KNOWN

Application Number

Filing Date

First Named Inventor

William F. Behm

Examiner Name

Group/Art Unit

TOTAL AMOUNT OF PAYMENT

(\$ 6,586.00

Attorney Docket No.

Sg-20554

Express Mail Label Number

EJ226237614US



METHOD OF PAYMENT (CHECK ONE)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number:

Deposit Account Name

☐ Charge any additional Fee Required Under 37 CFR §§ 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR § 1.18 at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed

☒ Check

☐ Money Order

☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | FEE PAID |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|----------|
| 101 | 710 | 201 | 355 | Utility filing fee | \$710.00 |
| 106 | 320 | 206 | 160 | Design filing fee | \$ |
| 107 | 490 | 207 | 245 | Plant filing fee | \$ |
| 108 | 710 | 208 | 355 | Reissue filing fee | \$ |
| 114 | 150 | 214 | 75 | Provisional filing fee | \$ |
| SUBTOTAL (1) | | | | | \$710.00 |

2. EXTRA CLAIM FEES

| | | Extra Claims | Fee from below | = | Fee Paid |
|-----------------------------|-------|--------------|----------------|---|--------------|
| Total Claims [302] - 20** = | [282] | x | [\$18.00] | = | [\$5,076.00] |
| Independent [13] - 3** = | [10] | x | [\$80.00] | = | [\$ 800.00] |
| Claims | | | | | |
| Multiple Dependent | | | [\$0.00] | = | [\$ 0.00] |
| SUBTOTAL (2) | | | | | \$5,876.00 |

** or number previously paid, if greater; For Reissues, see below

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description |
|----------------------|-----------------------|----------------------|-----------------------|--|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid |
| 109 | 80 | 209 | 40 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent |

| | | | |
|---------------------|----------|--------------------|--|
| Attorney Docket No. | SG-20554 | Application Number | |
|---------------------|----------|--------------------|--|

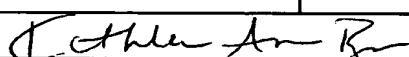
3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | FEE PAID |
|---------------------|-----------------|----------------|-----------------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| 112 | 920* | 112 | 920* | Requesting a publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Statement | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of design patent | |
| Other fee (Specify) | | | | | |
| Other Fee (specify) | | | | | |

*Reduced by Basic Filing Fee

SUBTOTAL (3)

\$00.00

| SUBMITTED BY | | | | | |
|-----------------------|---|-------------|--------|-----------|----------------|
| Typed or Printed Name | Kathleen Anne Ryan | Reg. Number | 36,909 | Telephone | (919) 303-5034 |
| Signature |  | | | Date | 11/28/2000 |

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"Express Mail" Mailing Label Number EJ 226 237614 USDate of Deposit: November 28, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office Box Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D. C. 20231.

Kathleen Anne Ryan

 11/28/2000
Signature Date